

Loanword phonology

Phonological perception in loanword adaptation

Paul Boersma
Silke Hamann

OPC 4 Rhodes, January 20, 2007

1

Three approaches:

- Adaptation is perceptual, similarity between loan and native segments determines integration (e.g. Peperkamp & Dupoux 2003)
- Adaptation is phonological only, and performed by bilinguals (e.g. Paradis 1996)
- Adaptation involves native phonology *and* phonetic similarity between loan and native segments (e.g. Silverman 1992, Kenstowicz 2001, Broselow 2003, Yip 2006)

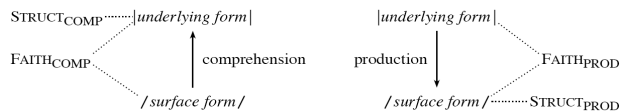
2

Our proposal: phonological perception

- Loan adaptation involves your L1 (and possibly your L2) perception, and no loanword-specific devices
- Speech perception is the construction of an abstract phonological surface form from raw auditory material
- Speech perception is constrained by the familiar *language-specific structural constraints*

3

Bidirectional two-level OT models of loanword adaptation



Problems:

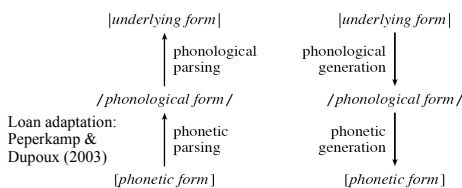
- loanword-specific device: different FAITH constraints in comprehension and production (e.g. MATCH by Davidson & Noyer 1996, MIMIC by Yip 2006)
- loanword-specific device: STRUCTURAL constraints work on different representations (e.g. Broselow 2003)
- general problem for two-level grammar models: is the surface form abstract or phonetically detailed?

4

Psycholinguistic three-level model

(Levitt 1989, McQueen & Cutler 1997)

COMPREHENSION PRODUCTION



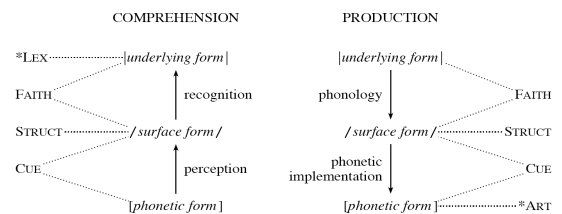
“All loanword adaptations are phonetically minimal transformations that apply in perception”

Problem: how is the similarity between loan and native segment determined? No formalization

5

Present approach: three-level OT

Use existing model for bidirectional L1 phonology & phonetics (Boersma 1998: serial comprehension; 2005: parallel production), without any loanword-specific constraints or modules



- Explicit formalization
- Same constraints in both directions
- Same constraints for the same forms

6

Japanese: final consonants

Polivanov (1931): Japanese listeners perceive the Russian word *tak* 'so' [tak] as /.ta.ku./ (modelled in OT by Escudero & Boersma 2004)

[ta{ <i>velar, burst</i> }]	CODA COND	*[<i>burst</i>] / /	*[] /o/	*[] /u/
/.tak./	*!			
/.ta./		*!		
/.ta.ko./			*!	
☞ /.ta.ku./				*

No similarity calculations between loan and native segments!
Loan segment is categorized via native constraint rankings (acquired on the basis of L1 input)

7

Japanese: initial clusters

Russian [drama] is perceived as /.do.ra.ma./ (Polivanov 1931)

[{ <i>alv, burst</i> }rama]	*/CC/ / /	*[<i>burst</i>] / /	*/du/ / /	*[<i>alv</i>] /vel/	*[<i>burst</i>] /fric/	*[] /o/	*[] /u/
/.dra.ma./	*!						
/.ra.ma./		*!					
/.du.ra.ma./			*!				*
/.zu.ra.ma./					*!		*
☞ /.do.ra.ma./						*	
/.gu.ra.ma./				*!			*

See also the findings by Dupoux et al. (1999): Japanese listeners perceive both [ebzo] and [ebuzo] as /.e.bu.zo./

8

Structural and cue constraints

We use no loanword-specific devices:

1. structural and cue constraints are independently needed for native-language perception;
2. structural constraints are independently needed in production.

Empirical prediction: we should find cases of crucial intertwining of structural and cue constraints.

9

Cue constraints can override structural constraints

Example: Dutch adaptation of English long high vowels as in *team*

[t ^h i{ <i>long</i> }m]	*[<i>long</i>] /μ/	*/high, long/
/.tim./	*!	
☞ /.ti:m./		*

Borrowing creates new phonotactics!

10

Cantonese: final consonant clusters

Data from Silverman (1992) and Yip (1993, 2002).
Adaptation of English *tips* as [t^hi:psi:] and *send* as [se:n]

[tip{ <i>fric</i> }]	*/CC./	*/F./	*[<i>fric</i>] / /	*[] /V/
/.t ^h ips./	*!	*		
/.t ^h is./		*!		
/.t ^h ip./			*!	
☞ /.t ^h ip.si./				*

11

Cantonese: final consonant clusters

[se{ <i>nas</i> }{ ^d }]	*/CC./	*/F./	*[<i>nas</i>] / /	*[<i>fric</i>] / /	*[] /V/	*[^d] / /
/.send./	*!					
/.sen.di./					*!	
/.sed./			*!			
☞ /.sen./						*

Yip (1993, 2002): difference between auditory salience of [tips] and [send] causes difference in *production* via PARSE(salient) or MIMIC-SALIENT.
Simpler proposal: locus is in *perception*, as here.

12

Cantonese: liquids

Adaptation of English *plum* as [pɔwɫɐm] but *freezer* as [fi:sa:]

[p{liquid}ɫɐm]	*/.CC/	* /ω/ /σσσ/	*[liquid] //	*[] /V/
/ .plɐm./	*!			
/ .pɐm./			*!	
☞ / .pɔw .lɐm./				*

[f{liquid}i:zə]	*/.CC/	* /ω/ /σσσ/	*[liquid] //	*[] /V/
/ .fli.sa./	*!			
/ .fi.li.sa./		*!		*
☞ / .fi.sa./			*	

13

Cantonese: tone of epenthetic vowels

[plɔ{hi}m]	* /∅/ /σ/	*[] /M/	*[] /L/
H p o w l ɛ m	*!		
L H p o w l ɛ m			*
M H p o w l ɛ m		*!	

Silverman (1992: 303): At the Operative Level, “a L tone (the least prominent tone) is provided, since its **acoustic properties most closely correspond** to those of the input.”

More natural locus: a L tone is provided in *perception*.

14

Desano

Data from Kaye (1971), analysis from Boersma (2000/2003)

Adaptation of Portuguese [ʒwẽw] ‘John’ as /ɲũ/

[ʒwẽw]	* /∅N/ /CV/	* /∅N/ /σσ/	*[V±nas] /V±nas/	*[C±nas] /C±nas/
N ɲ u	*!			
N ɲ u a		*!		
☞ style="text-align: center;">N ɲ u				*
ɲ u			*!	

15

Desano

Adaptation of [sɛbẽw] ‘soap’ as / .sa.bo./

[sɛbẽw]	* /∅N/ /CV/	* /∅N/ /σσ/	*[V±nas] /V±nas/	*[C±nas] /C±nas/
N s a b o	*!			
N s a m o		*!		*
N n a m o			*	*!*
☞ s a b o			*	

16

Conclusions

- Loanword adaptation uses already available perception grammar(s)
- OT structural constraints guide perception
- OT cue constraints are ranked by cue reliability

Not needed:

- loanword-specific modules or constraints
- loanword-specific rankings (e.g. Max >> Dep)

Assumptions required:

- bidirectionality, phonological & phonetic levels

17

References

- Boersma, Paul (1998). *Functional phonology*. The Hague: Holland Academic Graphics.
- Boersma, Paul (2003). Nasal harmony in functional phonology. In: J. v. d. Weijer, H. v. d. Hulst & V. v. Heuven (eds.) *The phonological spectrum*. Amsterdam, John Benjamins: 3-35. Appeared 2000 on *ROA*, 393
- Boersma, Paul (2005). Some listener-oriented accounts of hache aspiré in French. *ROA* 730. Revised version to appear in *Lingua*.
- Broselow, Ellen (2003). Language contact phonology: richness of the stimulus, poverty of the base. *Proceedings of the North-Eastern Linguistic Society* 34.
- Davidson, Lisa & Rolf Noyer (1996). Loan phonology in Huave: nativization and the ranking of faithfulness constraints. *WCCFL* 15: 65-80.

18

- Dupoux, E., K. Kakehi, Y. Hirose, C. Pallier, S. Fitneva & J. Mehler (1999). Epenthetic vowels in Japanese: a perceptual illusion. *Journal of Experimental Psychology: HPP* 25: 1568-1578.
- Escudero, Paola & Paul Boersma (2004). Bridging the gap between L2 speech perception research and phonological theory. *Studies in Second Language Acquisition* 26: 551-585.
- Kaye, Jonathan (1971). Nasal harmony in Desano. *Linguistic Inquiry* 2: 37-56.
- Kenstowicz, Michael (2001). The Role of Perception in Loanword Phonology. *Linguistique Africaine* 20.
- Levelt, Willem (1989). *Speaking: from intention to articulation*. Cambridge, Mass.: MIT Press.
- McQueen, James & Anne Cutler (1997). Cognitive processes in speech perception. In W. Hardcastle & J. Laver (eds.) *The handbook of phonetic sciences*. Oxford: Blackwell, 566-585.
- Paradis, Carole (1996). The inadequacy of filters and faithfulness in loanword adaptation. In: J. Durand & B. Laks (eds.) *Current Trends in Phonology: Models and Methods*. Salford: University of Salford, 509-534.
- Peperkamp, Sharon (to appear). A psycholinguistic theory of loanword adaptation. *Proceedings of the 30th Annual Meeting of the Berkeley Linguistics Society*.
- Peperkamp, Sharon & Emmanuel Dupoux (2003). Reinterpreting loanword adaptations: the role of perception. *ICPhS* 15: 367-370.
- Polivanov, Evgenij (1931). La perception des sons d'une langue étrangère. *Travaux du Cercle Linguistique de Prague* 4: 79-96.
- Silverman, Dan (1992). Multiple scansion in loanword phonology: evidence from Cantonese. *Phonology* 9: 289-328.

- Steriade, Donca (2001). Directional asymmetries in place assimilation. In E. Hume & K. Johnson (eds.) *The role of speech perception in phonology*. San Diego: Academic Press, 219-250.
- Yip, Moira (1993). Cantonese loanword phonology and optimality theory. *Journal of East Asian Linguistics* 2: 261-291.
- Yip, Moira (2002) Perceptual influences in Cantonese loanword phonology. *Journal of the Phonetic Society of Japan* 6(1): 4-21.
- Yip, Moira (2006). The symbiosis between perception and grammar in loanword phonology. *Lingua* 116: 950-975.